

Docket No.: 4752-004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kimio ISHIMARU et al.

U.S. Patent Application No. *not yet assigned*Filed: *herewith*For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING METHOD,
SYSTEM, PROGRAM, AND RECORDING MEDIUMINFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed with the application and no certification or fee is required.

Please note this is a PCT application in the entry of the National Phase in the U.S. and copies of the references cited were transmitted by WIPO and are believed to be in the file of the above-identified application and readily available to the Examiner. Therefore it is believed that Applicants have met all requirements regarding duty of disclosure under 37 CFR 1.56. A copy of the foreign search report is attached for the Examiner's information. Acknowledgement and consideration of these documents are respectfully requested.

Respectfully submitted,

LOWE HAUPTMAN & BERNER, LLP

Kenneth M. Berner
Registration No. 37,0931700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
(703) 684-1111 KMB/iyr
Facsimile: (703) 518-5499
Date: January 13, 2005

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)	ATTY. DOCKET NO. 4752-004	U.S. APPLICATION NO. Not yet assigned
	APPLICANT Kimio ISHIMARU et al.	
	FILING DATE herewith	GROUP

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	2002-189825	07-05-2002	JP				
	2002-133109A	05-10-2002	JP				
	2002-163449A	06-07-2002	JP				
	2001-338128	12-7-2001	JP				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.